

## **MEMORANDUM**

**To:** CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

**CTC Meeting:** August 16-17, 2023

**From:** STEVEN KECK, Chief Financial Officer

**Reference Number:** 3.3, Information Item

**Prepared By:** James R. Anderson, Chief  
Division of Financial Programming

**Subject:** **STATUS OF THE START TIME OF EXPENDITURES FOR PRE-CONSTRUCTION  
SUPPORT PHASES FOR SHOPP PROJECTS PER THE TIMELY USE FUNDS  
POLICY**

### **SUMMARY:**

The California Department of Transportation (Department) is presenting this information item on the status of pre-construction support phases for State Highway Operation and Protection Program (SHOPP) projects. The pre-construction support phases are Project Approval and Environmental Document (PA&ED), Plans, Specifications and Estimate (PS&E) and Right of Way Support (RW Sup).

In Fiscal Year 2022-23, the California Transportation Commission (Commission) allocated 386 SHOPP pre-construction support phases. As of July 24th, 2023, 301 phases have begun expenditure, and 85 phases are scheduled to expend by their respective deadline.

### **BACKGROUND:**

The passage of Senate Bill 1, the Road Repair and Accountability Act, necessitates that the Department and the Commission establish baseline budgets for each pre-construction support phase of each project in the 2020 and 2022 SHOPP. Government Code Section 14526.5(g) formalized the condition of allocation for pre-construction support phases on or after July 1, 2017 for all SHOPP projects.

The SHOPP Guidelines developed by Commission staff, in partnership with the Department, and adopted by the Commission at the June 2019 meeting, requires that expenditures allocated for SHOPP projects for pre-construction support phases begin accruing expenditures within six months of allocation.

Attachment

*“Provide a safe and reliable transportation network that  
serves all people and respects the environment.”*

**Voted Not Expended Project Status**  
**FY 2022-23 Pre Construction Allocations for SHOPP Projects**  
**Status for projects nearing six months from allocation**

Reference No.: 3.3  
August 16-17, 2023  
Attachment 1

| No. | Dist-<br>EA | PPNO  | County-<br>Route | Work Description   | Phase | Allocation<br>Date | Expenditure<br>Deadline | Allocation<br>Amount<br>(X 1000) | Project Status                                |
|-----|-------------|-------|------------------|--|-------|--------------------|-------------------------|----------------------------------|---|
| 1   | 01-0H641    | 2597  | Hum-101          | Near Cooks Valley, at 0.9 mile north of Mendocino County line.   | PA&ED | 06/28/2023         | 12/31/2023              | \$1,000,000                      | The Department plans to expend by 12/31/2023. |
| 2   | 01-0L590    | 3208  | Lak-29           | Near Middletown, from 0.1 mile south of Central Park Road to 0.1 mile north of Young Street.   | PA&ED | 06/28/2023         | 12/31/2023              | \$2,427,000                      | The Department plans to expend by 12/31/2023. |
| 3   | 01-0M120    | 1156  | DN-101           | Near Crescent City, from 1.9 miles south to 1.0 mile south of Mill Creek Campground Road.  | PA&ED | 05/17/2023         | 11/30/2023              | \$1,380,000                      | The Department plans to expend by 11/30/2023. |
| 4   | 04-0AC30    | 2909N | Son-116          | Near Guerneville, at 0.2 mile west of Redwood Drive.   | PA&ED | 05/17/2023         | 11/30/2023              | \$753,000                        | The Department plans to expend by 11/30/2023. |
| 5   | 04-2Y050    | 2917D | Mrm-101          | Near Marin City, from Donahue Street to 0.3 mile north of Donahue Street.  | PA&ED | 06/28/2023         | 12/31/2023              | \$3,000,000                      | The Department plans to expend by 12/31/2023. |
| 6   | 05-1Q150    | 3105  | SCr-152          | In Watsonville, from Freedom Boulevard to Beck Street.   | PA&ED | 05/17/2023         | 11/30/2023              | \$1,926,000                      | The Department plans to expend by 11/30/2023. |
| 7   | 05-1Q160    | 3164  | Mon-1            | Near Nottleys Landing at Rocky Creek Bridge No. 44-0036.   | PA&ED | 05/17/2023         | 11/30/2023              | \$1,600,000                      | The Department plans to expend by 11/30/2023. |
| 8   | 05-1R100    | 3165  | SLO-1            | Near Morro Bay, at Toro Creek Bridge No. 49-0068L.   | PA&ED | 06/28/2023         | 12/31/2023              | \$1,200,000                      | The Department plans to expend by 12/31/2023. |
| 9   | 06-1E880    | 8020  | Fre-180          | Near Mendota and Kerman, from West Belmont Avenue to Modoc Avenue; also near Rolinda, from 0.2 mile east of Goldenrod Avenue to 0.3 mile west of Brawley Avenue (PM 43.8/53.3).  | PA&ED | 06/28/2023         | 12/31/2023              | \$600,000                        | The Department plans to expend by 12/31/2023. |
| 10  | 06-1E910    | 8016  | Mad-41           | Near Coarsegold, at the intersection with Road 416.  | PA&ED | 06/28/2023         | 12/31/2023              | \$1,300,000                      | The Department plans to expend by 12/31/2023. |
| 11  | 07-37930    | 5973  | LA-2             | In the cities of Los Angeles, Glendale, La Canada Flintridge, and Pasadena, from Verdugo Road to the Angeles Forest; also on Route 210, from 0.2 mile west of Wentworth Street to Washington Boulevard (PM R10.6/R23.5). | PA&ED | 06/28/2023         | 12/31/2023              | \$2,027,000                      | The Department plans to expend by 12/31/2023. |
| 12  | 07-38730    | 5967  | LA-2             | Near Three Points, at 1.1 mile west of Charlton Flats.   | PA&ED | 05/17/2023         | 11/30/2023              | \$991,000                        | The Department plans to expend by 11/30/2023. |
| 13  | 08-1N590    | 3021K | SBd-15           | Near Baker, from 2.4 miles north of Afton Road to 5.0 miles south of Nipton Road.  | PA&ED | 06/28/2023         | 12/31/2023              | \$4,500,000                      | The Department plans to expend by 12/31/2023. |
| 14  | 11-43180    | 1501  | SD-5             | In San Diego County, on Routes 5, 8, 15, 94, 125, 163, and 805 at various locations.   | PA&ED | 06/28/2023         | 12/31/2023              | \$1,502,000                      | The Department plans to expend by 12/31/2023. |

**Voted Not Expended Project Status**  
**FY 2022-23 Pre Construction Allocations for SHOPP Projects**  
**Status for projects nearing six months from allocation**

Reference No.: 3.3  
 August 16-17, 2023  
 Attachment 1

| No. | Dist-<br>EA | PPNO  | County-<br>Route | Work Description  | Phase | Allocation<br>Date | Expenditure<br>Deadline | Allocation<br>Amount<br>(X 1000) | Project Status                                |
|-----|-------------|-------|------------------|---|-------|--------------------|-------------------------|----------------------------------|---|
| 15  | 12-0S840    | 2860Z | Ora-5            | In Anaheim, at the intersection of Anaheim Boulevard and Anaheim Way.   | PA&ED | 05/17/2023         | 11/30/2023              | \$313,000                        | The Department plans to expend by 11/30/2023. |
| 16  | 12-0T730    | 5498  | Ora-241          | Near Lake Forest, from 0.5 mile south of Portola Parkway to 0.4 mile south of Windy Ridge Toll Plaza; also on Route 133 from 0.5 mile south of Irvine Boulevard to Route 241(PM 11.4/13.6). | PA&ED | 06/28/2023         | 12/31/2023              | \$2,484,000                      | The Department plans to expend by 12/31/2023. |